



NATIONAL MARINE FISHERIES SERVICE
Northeast Regional Office / Fisheries Statistics Office



Application Instructions
Federal Fisheries Dealer Permit

Mail the completed application packages to: National Marine Fisheries Service (NOAA Fisheries Service), Northeast Permits Operations, 1 Blackburn Drive, Gloucester, MA 01930-2298. **Note:** There is a 30-day processing period for all application packages. This 30-day processing period begins when a **correct and complete** application package is received in the NMFS Northeast (NE) Permits Operations Office. Failure to provide the required information will delay processing of your dealer permit.

For further information, call Northeast Permits Operations at (978) 281-9370

➤ **Section 1 - Dealer Information**

If you already have a NE dealer permit, enclosed is a pre-printed application, which is partially completed for you.

If you are a new seafood dealer and have not been issued a NE dealer permit previously, an initial NE dealer permit application is enclosed.

Before submitting your application, review the dealer information placed in Section 1 to ensure it is correct. Make any corrections on the lines provided. It is important to include your Southeast Dealer Permit Number in Section 1 if you have been issued a dealer permit by the Southeast Region of NOAA Fisheries Service.

➤ **Section 1A - Additional Dealer Information**

You must provide the address of each place of business where you purchase or receive the regulated species for commercial purposes.

If regulated species are purchased or received for commercial purposes at more than one place of business, each address must be listed on the application. Space is available on the back of the dealer permit form for the required listing of additional addresses.

➤ **Section 2 - Selected Fisheries**

If not already marked, place an 'X' next to each of the fisheries for which you are requesting a Federal Fisheries Dealer Permit.

➤ **Section 3 - Applicant's Signature**

Before mailing, carefully review the application form for accuracy and completeness. Sign and date the application in Section 3 and return it to the following address.

Corporations and Partnership Information

Corporations

All dealer corporations must submit **with their initial application** a copy of the certificate of incorporation, showing officers and shareholders owning 25% or more of the shares of the corporation. In addition, **each year** the corporation must submit current information listing all current officers, along with their addresses, and shareholders owning 25% or more of the shares of the corporation, along with their addresses.

Partnerships

If your business is a partnership, you must submit a copy of the partnership agreement **with your initial application**. In addition, **each year** the partnership must submit the names and addresses of all current partners.

Sole proprietorships

If your business is a sole proprietorship, you must submit, **with your initial application**, a statement in writing that you are a sole proprietorship.

Failure to provide this information will delay processing of your dealer permit.

Dealer Reporting

An application is complete when all requested forms, information, and documentation have been received, and the applicant has submitted all applicable reports specified in the Federal regulations including dealer electronic weighout reports and processed products reports [CFR § 648.7 and § 635.5]. All dealers must submit detailed purchase reports electronically, with the exception of dealers holding only a federal Northeast dealer permit for American lobster and/or Atlantic tunas. Atlantic tuna dealers who purchase or receive bluefin tuna have separate reporting requirements. Processors who have processor or dealer permits must complete and submit to NOAA Fisheries Service an annual processed products report.

Electronic dealer reports must contain all species, including, but not limited to, all Northeast managed species, as well as all tunas, sharks and swordfish. Failure to submit the reports required under either CFR § 648.7 or § 635.5 may bar issuance of any Northeast dealer permit (except for American lobster). In addition, failure to report in an accurate and timely fashion may result in enforcement action being taken. If you have any questions concerning your compliance with the reporting regulations for Northeast managed species [CFR § 648.7], please contact your local NMFS Field Office (list enclosed). Questions concerning reporting for bluefin tuna [CFR § 635.5], should be directed to the Highly Migratory Species Office at (978) 281-9140.



United States Department of Commerce
National Oceanic & Atmospheric Administration
Fisheries Statistics Office/Northeast Permits Operations
One Blackburn Drive
Gloucester, MA 01930-2298

Federal Northeast Seafood Dealer Initial Dealer Permit Application

SECTION 1 - DEALER INFORMATION

Southeast Dealer # (if available) _____

Company name: _____

Owner's Name: _____

Street Address: _____

City: _____ State : _____ Zip Code: _____

Telephone: _____ Fax Number: _____

**Vessel Name: _____ **Vessel Hull Number: _____

**(must enter vessel name and hull number above if applying for Atlantic Mackerel at Sea Processor, if mackerel processing will be done aboard a vessel)

If regulated species are purchased or received for commercial purposes at more than one place of business, each address must be listed. List those additional addresses on the back of this form in Section 1A.

SECTION 2 - FISHERIES

Select the fisheries desired by placing an (X) in the boxes provided. Select all fisheries that apply.

- | | | |
|---|--|--|
| <input type="checkbox"/> Atlantic bluefin tuna | <input type="checkbox"/> Northeast multispecies | <input type="checkbox"/> Scup |
| <input type="checkbox"/> Other Atlantic Tunas
(Yellowfin, Bigeye, Skipjack,
Albacore) | <input type="checkbox"/> American lobster | <input type="checkbox"/> Black sea bass |
| <input type="checkbox"/> Surfclam/Ocean quahog - Dealer | <input type="checkbox"/> Skate | <input type="checkbox"/> Monkfish |
| <input type="checkbox"/> Surfclam/Ocean quahog - Processor | <input type="checkbox"/> **Atlantic mackerel at sea
processor | <input type="checkbox"/> Atlantic bluefish |
| <input type="checkbox"/> Summer flounder | <input type="checkbox"/> Squid, Atlantic mackerel, butterfish | <input type="checkbox"/> Spiny Dogfish |
| <input type="checkbox"/> Atlantic sea scallop | <input type="checkbox"/> Atlantic herring - Dealer | <input type="checkbox"/> Tilefish |
| | <input type="checkbox"/> Atlantic herring - Processor | <input type="checkbox"/> Red Crab |

** (see note in Section 1)

SECTION 3 - OWNER'S NAME AND SIGNATURE

I affirm, subject to the penalties provided in 18 USC 1001, that all information I have given in obtaining this permit is true and correct.

Print Name: _____

Signature: _____ Date: _____

SECTION 1A - ADDITIONAL DEALER INFORMATION. If regulated species are purchased or received for commercial purposes at more than one place of business, each address must be listed below.

COMPANY ADDRESS:

STREET: _____

CITY: _____

STATE: _____ ZIP CODE: _____

COMPANY TELEPHONE: _____

COMPANY ADDRESS:

STREET: _____

CITY: _____

STATE: _____ ZIP CODE: _____

COMPANY TELEPHONE: _____

COMPANY ADDRESS:

STREET: _____

CITY: _____

STATE: _____ ZIP CODE: _____

COMPANY TELEPHONE: _____

For further information, call Northeast Permits Operations at (978) 281-9370

The information requested on this form is not confidential and will be available to the general public upon request.

The Paperwork Reduction Act requires potential respondents for a dealer/processor permit be notified of certain information regarding their rights and the burden associated with applying for a Federal dealer/processor permit. One of the regulatory steps taken by the National Marine Fisheries Service (NMFS) to carry out conservation and management objectives is the requirement of a permit for users of the resources. All the fisheries included on this application require a permit to purchase, or otherwise receive for transport, species harvested by the owner or operator of a fishing vessel issued a Federal fisheries permit for these species. Section 303(b)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA) specifically recognizes the need for permit issuance.

The purpose and use of permits is to: (1) register fish dealers and processor, (2) list the characteristics of dealer/processor operations, (3) exercise influence over compliance (e.g. withhold issuance pending collection of unpaid penalties), (4) provide a mailing list for the dissemination of important information to the industry, (5) provide a universe for data collection samples, and (6) obtain first purchase information on landings to evaluate the biological, economic and social implications of management measures.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: National Marine Fisheries Service, Permit Office, One Blackburn Drive, Gloucester, MA 01930-2298.

Northeast Regional Office / Fisheries Statistics Office

One Blackburn Dr., Gloucester MA 01930 Phone: (978) 281-9264 Fax: (978) 281-9161

John Witzig, Ph.D., Office Director

**Systems Management &
Development Section**

Reggie Howe, Section Chief

978 281-9149 cell 978 609-4155

**Fishery Analysis &
Monitoring Section**

Stanley Wang PhD, Section Chief

978 281-9225

Fishery Information Section

Greg Power, Section Chief

978 281-9304 cell 978 609-4154

VESSEL REPORTING

Barry Clifford

978 281-9246

FISHERY PERMITS

Ted Hawes

978 281-9370

Field Offices

NEW ENGLAND AREA		MID-ATLANTIC AREA	
Portland ME	207 780-3322 Fax 207 780-3340 Marine Trade Ctr Suite 212 2 Portland Fish Pier Portland, ME 04101-4633	East Hampton NY	631 324-3569 Fax 631 324-3314 62 Newtown Ln # 203 East Hampton, NY 11937-248
Gloucester MA	978 281-9363 978 281-9338 Fax 978 281-9372 11-15 Parker St. Gloucester MA 01930-2298	Patchogue NY	631 475-6988 Fax 631 289-8361 50 Maple Av P.O. Box 606 Patchogue, NY 11772-98
New Bedford MA	508 999-2452 508 984-0063 Fax 508 990-2506 37 N. Second St New Bedford, MA 02740-6329	Riverhead NY	631 727-7850 Fax 631 727-7130 423 Griffing Av Suite 100 Riverhead, NY 11901-3071
Chatham MA	508 945-5961 Fax 508 945-3793 29 Stage Harbor Rd Chatham, MA 02633-2268	Toms River NJ	732 349-3533 Fax 732 349-4319 26 Main St, Suite O P.O. Box 143 Toms River, NJ 08754
Point Judith RI	401 783-7797 Fax 401 782-2113 83 State St 2nd Flr P.O. Box 547 Narragansett, RI 02882-0547	Cape May NJ	609 884-2113 Fax 609 884-4908 1382 Lafayette St P.O. Box 624 Cape May, NJ 08204-0624
	Walter Anoushian * (cell 978 609-4145) Chris Zanni (cell 978 609-7921) Anthony Morales (cell 978 609-7821)		Erik Braun * (cell 978 609-4149) David McKernan (cell 978 609-4151) Tara Frolich (cell 207 653-9039) Albert Leo (cell 631 965-0509) Joanne Pellegrino (cell 978 609-7980) Walt Makowski * (cell 978 609-4148) Ingo Fleming (cell 978 609-8084) Anna Macan (cell 978-609-8085) David Ulmer* (cell 978 609-7643) Steve Ellis (cell 978 609-4147) George Mattingly (cell 978 609-4150)

* state partner coordinator

Other Contact Information

Web: <http://www.nero.noaa.gov/ro/doc/fso.htm>

Email: reporting.ne@noaa.gov or permits.ne@noaa.gov

Help Desks

Dealer Electronic Reporting: 978 281-9212

IVR Reporting: 978 281-9209

Vessel Trip Reporting: 978 281-9246

Permits: 978 281-9370

Reporting Forms: 978 281-9157